MBSC-3.1A

Sl.No. :

Total No. of Pages : 2

Third Semester M.B.A. Degree Examination, June/July - 2024
(CBCS) (SLM Scheme)
Elective - A : Finance
MANAGEMENT
Financial Markets and Institutions

Time : 3 Hours **Max. Marks : 80**

SECTION - A

Answer any five questions. Each question carries five marks. [5 × 5 = 25]

Q1) Briefly explain the functions of NBFC.

Q2) Differentiate Foreign Direct Investment with Foreign Portfolio investment.

Q3) Describe the trading and settlement system of OTCEI.

Q4) Briefly discuss the role of financial intermediaries.

Q5) Determine the calculation of Capital Adequacy Ratio with illustration.

Q6) List out the of government securities market.

Q7) Briefly explain the dematerialisation process.

SECTION - B

Answer any four questions. Each question carries ten marks. [4 × 10 = 40]

Q8) Evaluate the reasons for the rise in NPAs in Indian Banking Sector.

Q9) Describe various money market instruments.

Q10) Enumerate the role of RBI in regulating financial markets and institutions.

Q11) Discuss the major stock market reforms taken place in India.

Q12) Analyze the recent developments in Indian banking system.

Q13) Discuss the SEBI guidelines relating to Credit rating agencies.

SECTION - C

Q14) Case (Compulsory) **[1 × 15 = 15]**

The SEBI has imposed a penalty of Rs. 7,269.5 crore on one of Indian company and its four directors who had mobilised funds from the general public through illegal collective investment schemes in the name of purchase and development of agriculture land. While imposing the penalty, the biggest in its history. Securities and Exchange Board of India (SEBI) said the company deserved "maximum penalty" for duping the common man. Its Prevention of Fraudulent and Unfair Trade Practices Regulations provides for "severe to severe penalties" for dealing with such violations. As per SEBI norms, it can impose a penalty of Rs. 25 crore or three times of the profit made by indulging in fraudulent and unfair trade practices and in the present case, the regulator has imposed a fine equivalent to three times of the illicit gains.

Questions:

a) Discuss the prevailing fraudulent and unfair trade practice which has impact on the financial sector.

b) Describe the functions of SEBI in regulating the financial market.

