MBSC-3.1A

Sl. No.

III Semester M.B.A. Degree Examination, October/November - 2025
MANAGEMENT (Elective - A)
Financial Markets and Institutions
(CBCS, SLM Scheme)

Time : 3 Hours **Maximum Marks : 80**

SECTION - A

Answer any Five questions. Each question carries 5 marks. **(5×5=25)**

1. Mention the services provided by NBFCs.
2. List any five functions of stock market.
3. Discuss the various features of money market.
4. Distinguish between Primary market and Secondary market.
5. Write a note on Prevention of money laundering.
6. What are the investor protection measures initiated by SEBI?
7. What are the major causes for NPAs in Banking Sector?

SECTION - B

Answer any Four questions. Each question carries 10 marks. **(4×10=40)**

8. Discuss the various functions of Reserve Bank of India.
9. Elaborate the Reforms in Banking sectors.
10. Explain the advantages and disadvantages of FDI.
11. Discuss the various instruments of money market.
12. Illustrate the functions of merchant banker.
13. What is a Credit card? Discuss its types.

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SECTION - C

14. Case (Compulsory) **(1×15=15)**

Rajesh is the CEO of a leading petrochemical company. Recently the company issued equity to meet some costs. However to meet the floatation costs incurred to issue equity the company decided to do Bridge Financing. So it decided to issue a type of money market instrument. The requirement of the company was met intelligently by good decision of its wise CEO. Three months later the Company decided to issue a new equity again for the first time in the primary market . According to the method of floatation it involved it decided to go for the method adopted mostly by the public companies and made an appeal to the masses by directly approaching them. Again the success comes the way of the company and its objectives are achieved. However when the same type of attempt was made by the company again after another three months the company had a lot of problem. The problem was about deciding one key aspect related to the new issued security. The company would not have cared much about this key aspect if the same process would have taken place in the secondary market.

Questions :

a) Which type of money market instrument was issued by the company in the earlier stage?

b) Which method of floatation was adopted by the company three months later?

c) What key aspect the company would not have cared about in the secondary market?
